

# Reconciling Fish, Farms and Fowl on an Engineered Floodplain in California: the Yolo Bypass

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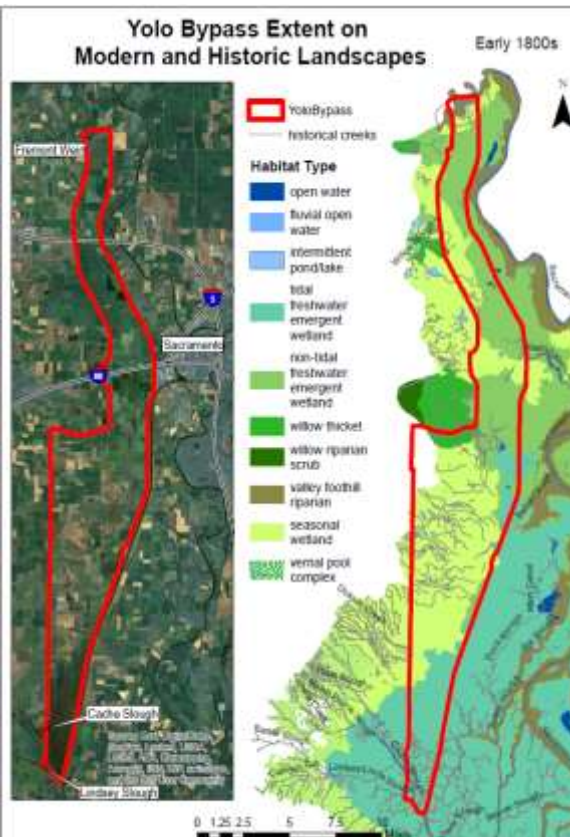
# What's So Special About the Yolo Bypass Today?

Location

Many uses

BDCP

But More Importantly...



**Test Case for  
Floodplain  
Reconciliation:**

**Can an ecologically  
functioning floodplain  
exist in a highly  
engineered system?**

# What is Reconciliation(as opposed to Restoration)?

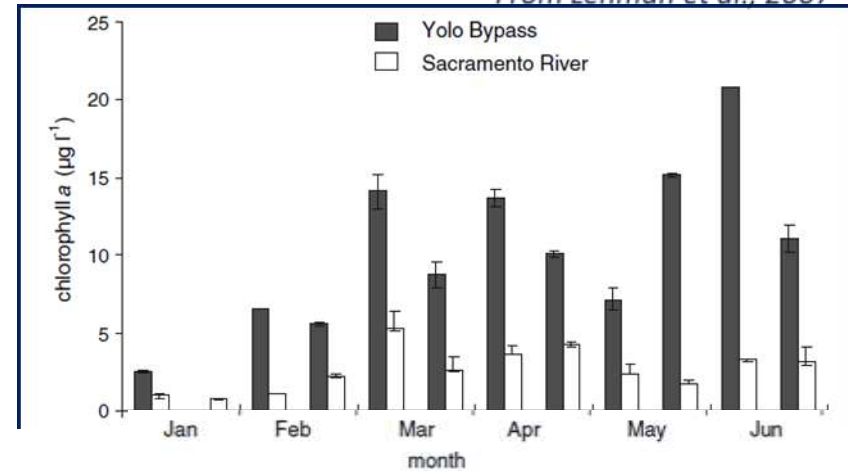
## And why are we talking about it on the Yolo Bypass?





# In Many Ways, the Bypass is already a reconciled system...

From Lehman et al., 2007



- Sommer et al. (2001) and Knaggs Ranch Experiments  
Chinook salmon that rear in Yolo Bypass grow faster than those remaining in the Sacramento River

- Feyrer et al., 2006  
Splittail reproduction is correlated to Yolo Bypass flooding

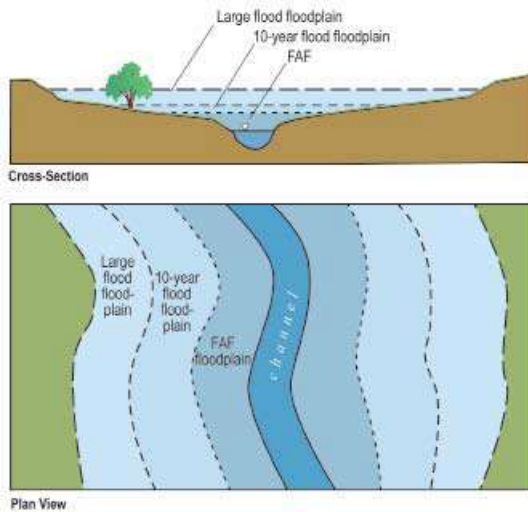


**Table 1.** Counts of several major bird groups from 12 monthly surveys at Yolo Basin Wildlife Area during 1998 and 1999. The total number of individuals is shown for each year with the total number of species (in parentheses). Note that the observations represent the results of one survey day each month and therefore do not represent annual population estimates. Source: Dave Feliz, California Department of Fish and Game, unpublished data.

| Bird Group      | 1998 Total | 1999 Total  | Dominant Species (top three)                       |
|-----------------|------------|-------------|--|
| Diving ducks    | 4,631 (7)  | 6,281 (7)   | Ruddy, canvasback, scaup                           |
| Puddle ducks    | 44,493 (7) | 173,323 (7) | Wigeon, mallard, shoveler                          |
| Geese and swans | 136 (5)    | 192 (4)     | Canada goose, white-front goose, snow goose        |
| Raptors         | 224 (11)   | 269 (13)    | Northern harrier, red-tailed hawk, Swainson's hawk |
| Shorebirds      | 3,485 (14) | 18,530 (11) | Western sandpiper, dowitcher spp., dunlin          |
| Wading birds    | 452 (2)    | 1,222 (2)   | Black-necked stilt, American avocet                |

# But there is room for improvement

(a) Unregulated Hydrologic Regime



(b) Regulated Hydrologic Regime

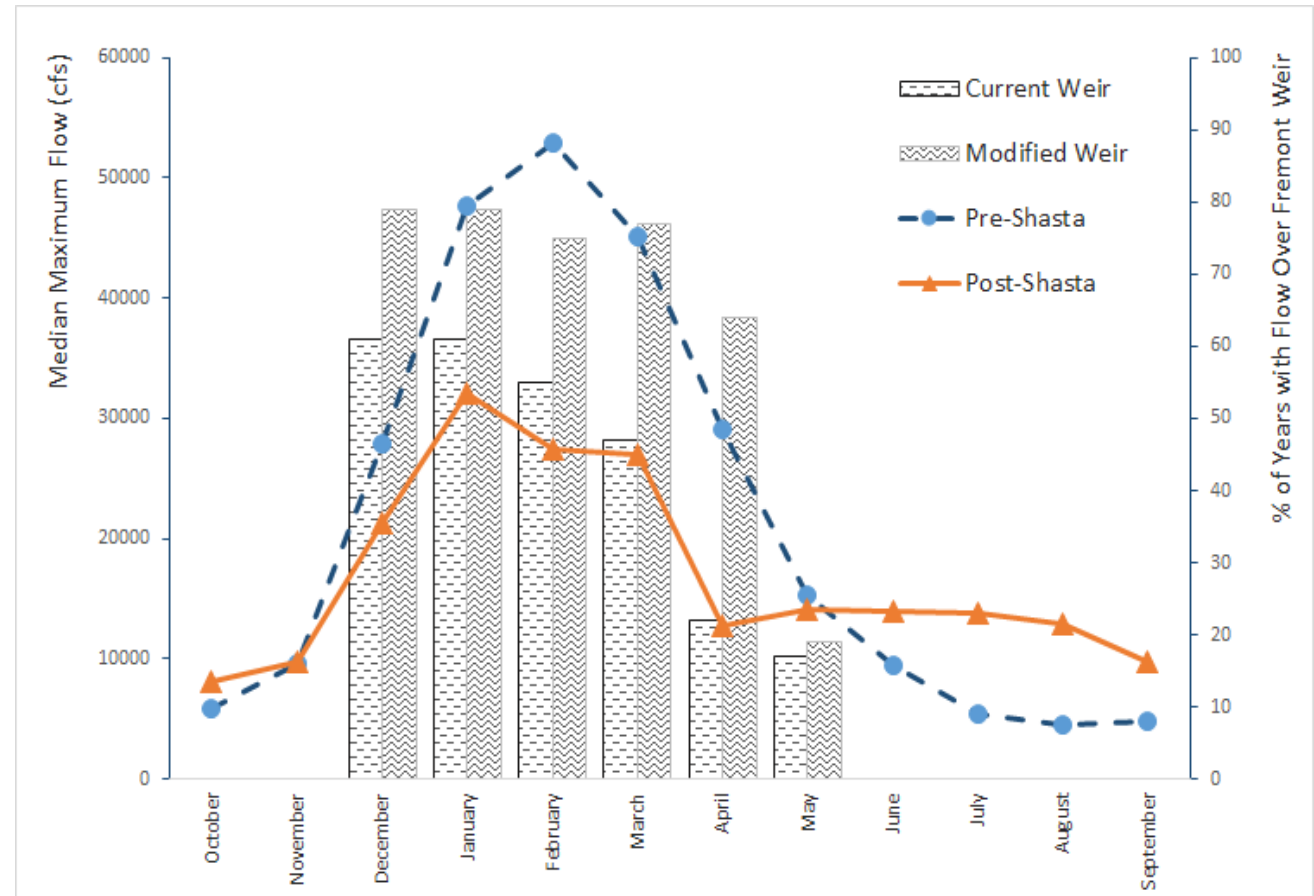
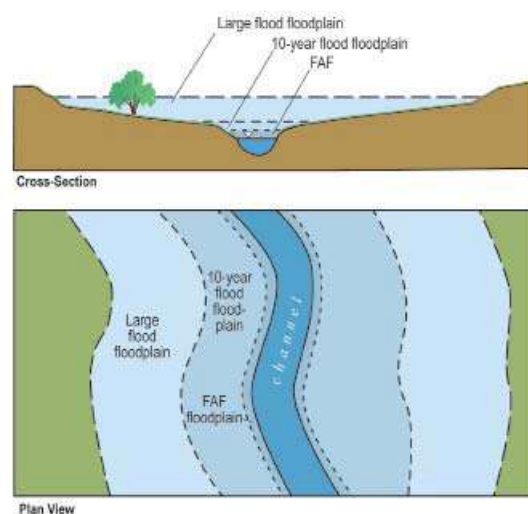
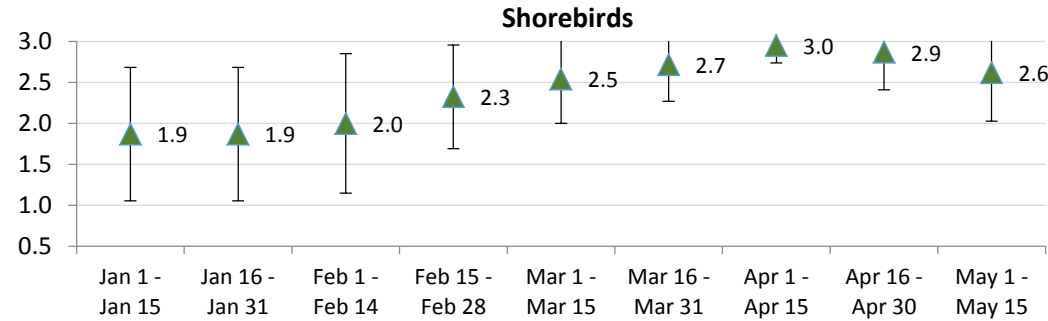
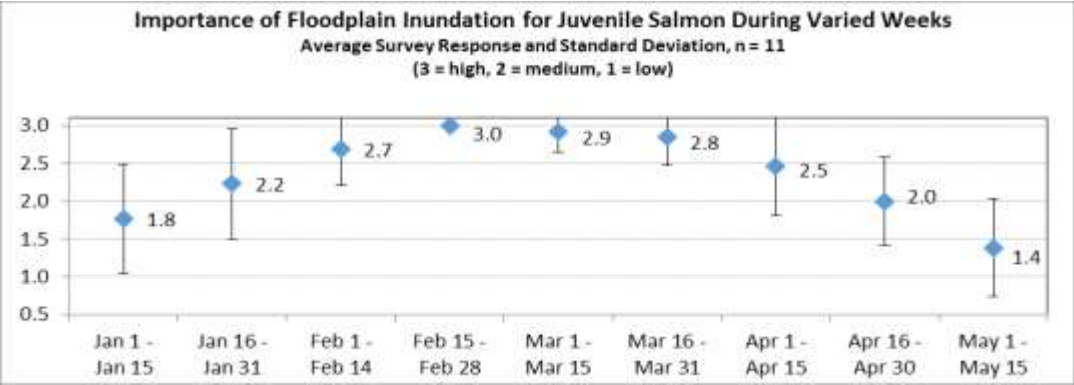


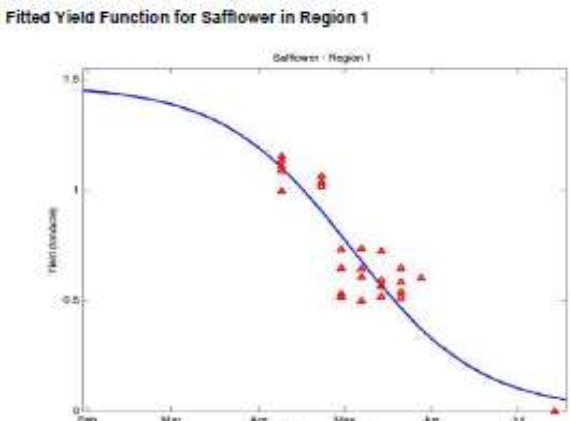
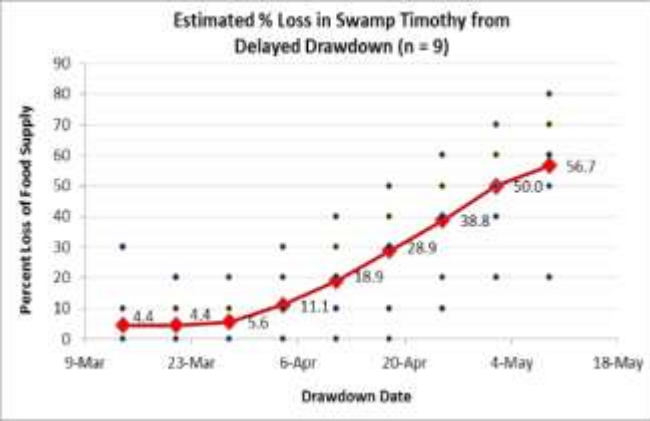
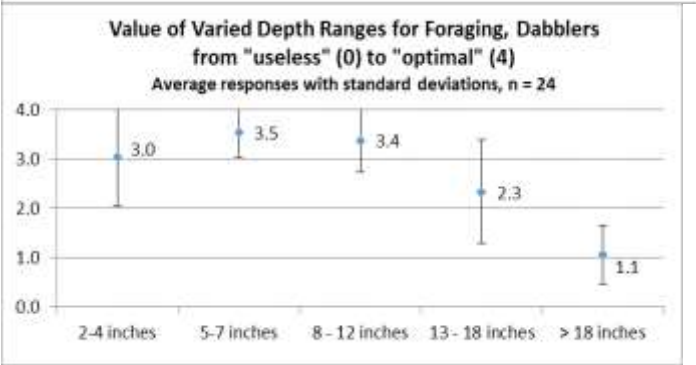
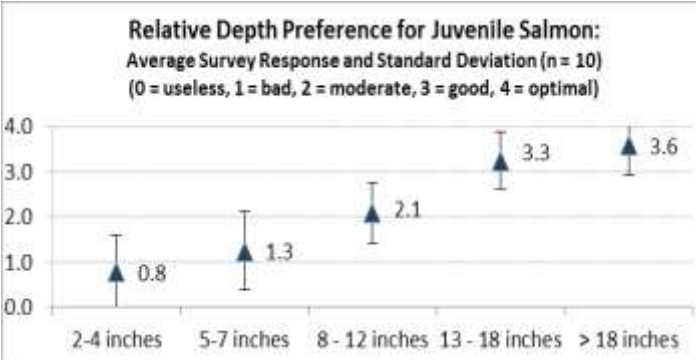
Figure 3. Median monthly high flows in the Sacramento River at Red Bluff, before and after the construction of Shasta Dam (Source: [waterdata.usgs.gov](http://waterdata.usgs.gov)), and proposed monthly increases in flood frequency on the Yolo Bypass (U.S. Department of the Interior et al. 2013). Reservoir operations flatten out the flow distribution through time, decreasing the likelihood of flooding in the winter and especially in the spring. Proposed modifications to the Fremont Weir seek to increase availability of flows during these months to more closely resemble historical flooding.

# Plans to notch the Fremont Weir are not without some Perceived Conflicts...

## Timing:



## Depth



# Remaining Questions for the Yolo Bypass....

1. What do the tradeoffs between economics and fish and bird habitat on the bypass actually look like?
2. Can additional flows be applied to this landscape in a way that improves fish habitat while still supporting water birds, recreation, and farming? (And if so, how?)
3. What are the land use and policy implications? How much and what kinds of mitigation are necessary to get to a more reconciled system? How much engineering is necessary?

## **Pulling It All Together...**

Using a Spreadsheet Multi-Objective  
Model to Analyze Tradeoffs and Suggest  
Promising Management Alternatives



# Decision Variables

Direct:

- **Total Acres of Each Land Use Type** in 6 Agricultural Zones
- **Acres Flooded** (by land use type and zone), further separated by:
  - **Depth** (2 – 4 in., 5 – 7 in., 8 – 12 in., 13 – 18 in., or > 18 in.)
  - **Timing** (weekly time steps)

Implied:

- **Duration**

## 3 Primary Objectives

### Economics:

- **Agriculture**
- **Hunting & Recreation**
- **Maintenance**

### Bird Habitat Quality:



- **Current Year Foraging for dabbling ducks and shorebirds**
- **Following Year's Food Supply**
- **Complexity**

### Fish Habitat Quality:

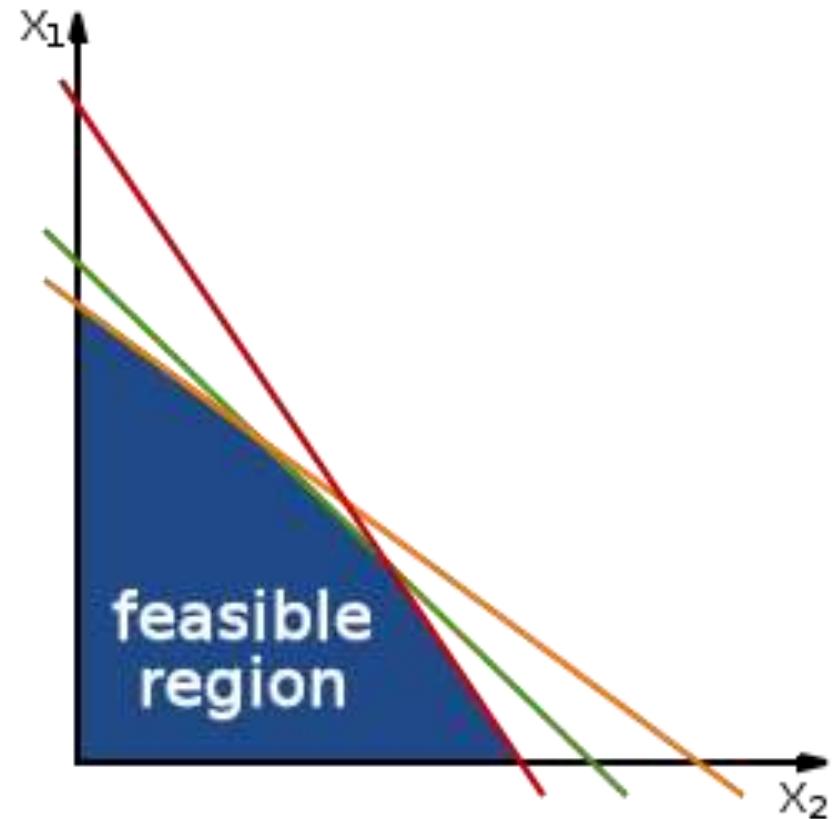


- **Rearing habitat for juvenile Chinook salmon and splittail, and spawning habitat for adult splittail**
- **Complexity**

# Constraints

## Physical Realities:

- Maximum Total Area
- Min and Max Land Use Areas
- Continuity of Land Use
- Continuity of Flooding
- Maximum Duration
- Non-negativity...



## And Two of the Objectives:

- Minimum Habitat Quality for Fish
- Minimum Habitat Quality for Birds

# Spreadsheet Optimization Model

|                                     |          |                |          |            |             |               |            |                       |                        |                                |               |
|-------------------------------------|----------|----------------|----------|------------|-------------|---------------|------------|-----------------------|------------------------|--------------------------------|---------------|
| Start Date of Flooding              |          |                |          |            |             |               |            |                       |                        |                                |               |
| February 12th                       |          |                |          |            |             |               |            |                       |                        |                                |               |
| ZONE 1 TOTAL PROFIT:                |          |                |          |            |             |               |            |                       |                        | \$ 994,863.48                  |               |
| ZONE 1 decision variable - ACREAGES |          |                |          |            |             |               |            |                       |                        |                                |               |
| Week 1                              |          |                |          |            |             |               |            |                       |                        |                                |               |
| Land Use                            |          |                |          |            |             |               |            |                       |                        |                                |               |
| Depth Zone                          | Rice (R) | Wild Rice (WR) | Corn (C) | Tomato (T) | Pasture (P) | Safflower (S) | Fallow (F) | Seasonal Wetland (SW) | Permanent Wetland (PW) | Hunted (private) Wetlands (HW) | Riparian (RP) |
| 0                                   | 341.2    | 0.0            | 58.3     | 303.3      | 812.9       | 201.2         | 0.0        | 0.0                   | 0.0                    | 0.0                            | 0.0           |
| 1                                   | 0.0      | 0.0            | 0.0      | 0.0        | 265.0       | 0.0           | 0.0        | 0.0                   | 0.0                    | 0.0                            | 0.0           |
| 2                                   | 0.0      | 0.0            | 0.0      | 0.0        | 0.0         | 0.0           | 0.0        | 0.0                   | 0.0                    | 0.0                            | 0.0           |
| 3                                   | 0.0      | 0.0            | 0.0      | 0.0        | 0.0         | 0.0           | 0.0        | 0.0                   | 0.0                    | 0.0                            | 0.0           |
| 4                                   | 0.0      | 0.0            | 0.0      | 0.0        | 0.0         | 0.0           | 0.0        | 0.0                   | 0.0                    | 0.0                            | 0.0           |
| 5                                   | 0.0      | 0.0            | 0.0      | 0.0        | 0.0         | 0.0           | 0.0        | 0.0                   | 0.0                    | 0.0                            | 0.0           |
| Flooded:                            | 0        | 0              | 0        | 0          | 265.049     | 0             | 0          | 0                     | 0                      | 0                              | 0             |
| Total Revenue Wk 1: \$ 1,263,476    |          |                |          |            |             |               |            |                       |                        |                                |               |

YOLO BYPASS  
TOTAL PROFIT: \$ 8,693,022

Quantitative multi-objective models can integrate vast amounts of data and describe complex relationships b/w decisions and system objectives.

- Over 3000 decision variables
- Each decision effects the economic and habitat performance functions in unique ways

| Salmon Benefit Function - WEIGHTS        |             |              |            |             |                                |            |           |     |     |    |     |    |
|--|-------------|--------------|------------|-------------|--------------------------------|------------|-----------|-----|-----|----|-----|----|
| Value of Inundation in Weeks 1 through 8 |             |              |            |             |                                |            |           |     |     |    |     |    |
| 2/12 - 2/18                              | 2/19 - 2/25 | 2/26 - 3/4   | 3/5 - 3/11 | 3/12 - 3/18 | 3/19 - 3/25                    | 3/26 - 4/1 | 4/2 - 4/8 | SUM | MAX |    |     |    |
| 100                                      | 100         | 97           | 97         | 97          | 95                             | 95         | 82        | 763 | 764 |    |     |    |
| 0.05                                     | 0.1         | 0.2          | 0.25       | 0.15        | 0.1                            | 0.1        | 0.05      | 1   |     |    |     |    |
| Relative Value of Depth Zones 1 - 5      |             |              |            |             | Maximum Flooded Acreage: 20000 |            |           |     |     |    |     |    |
| 2 - 4 in                                 | 5 - 7 in    | 8 - 12 in    | 13 - 18 in | >18 in      | SUM                            |            |           |     |     |    |     |    |
| 22                                       | 35          | 58           | 91         | 100         | 306                            |            |           |     |     |    |     |    |
| Total Acres & Land Use                   | Complexity  |              |            |             |                                |            |           |     |     |    |     |    |
|  |             | SUM LAND USE |            |             |                                |            |           |     |     |    | 829 |    |
| Land Use Type                            | R           | WR           | C          | T           | P                              | S          | F         | SW  | PW  | HW | RP  |    |
| Relative Benefit                         |             | 76           | 76         | 46          | 46                             | 78         | 53        | 79  | 100 | 78 | 100 | 97 |

Models can also incorporate new knowledge or test varied assumptions.

- All habitat function weights are adjustable
- So are agricultural parameters, and land and water management constraints

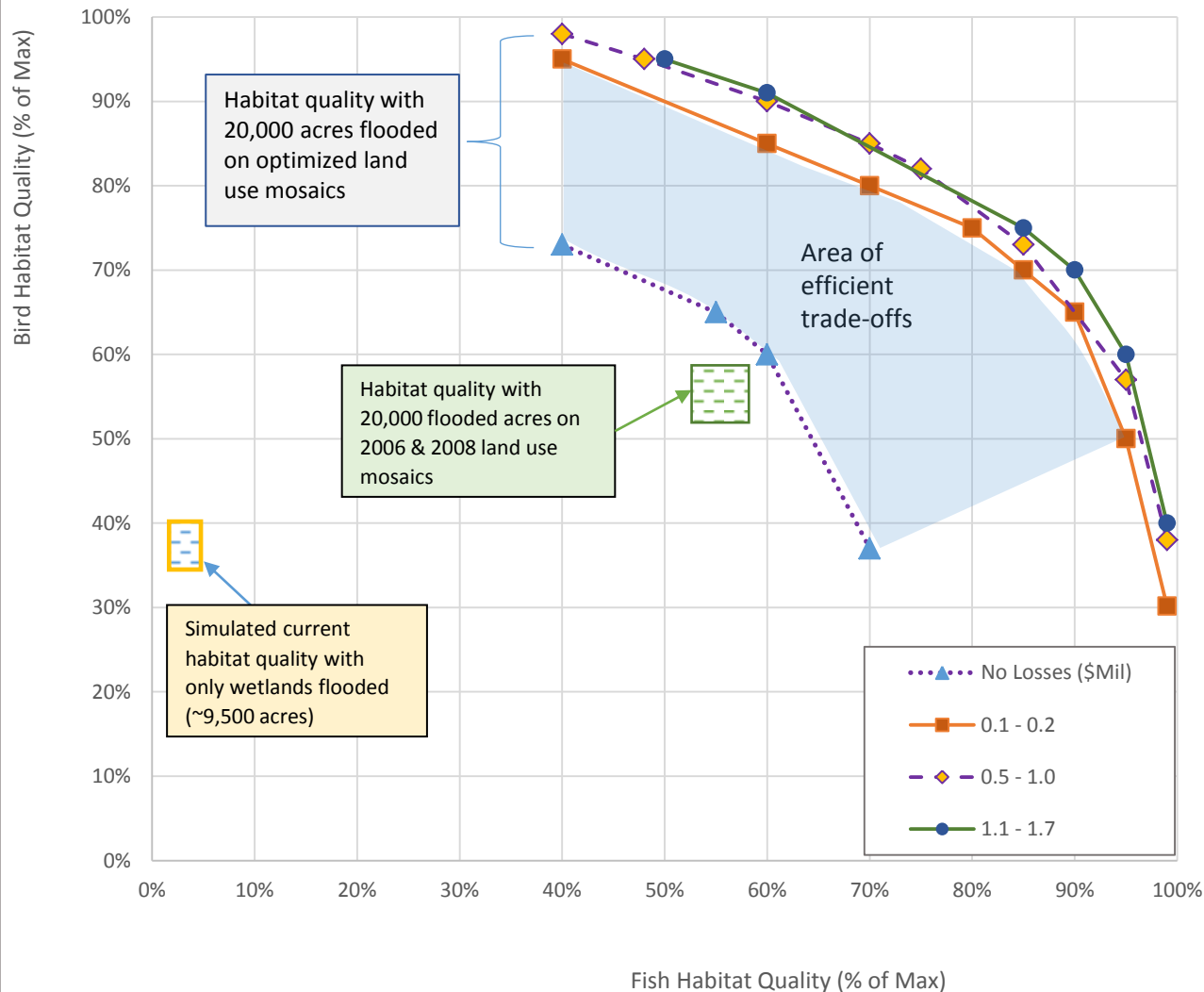
# Running the model under a range of habitat assumptions

- All land uses equal for fish
- Complexity weight reduced (all species)
- Salmon and dabblers prioritized over splittail and shorebirds
- More linear timing and duration preferences

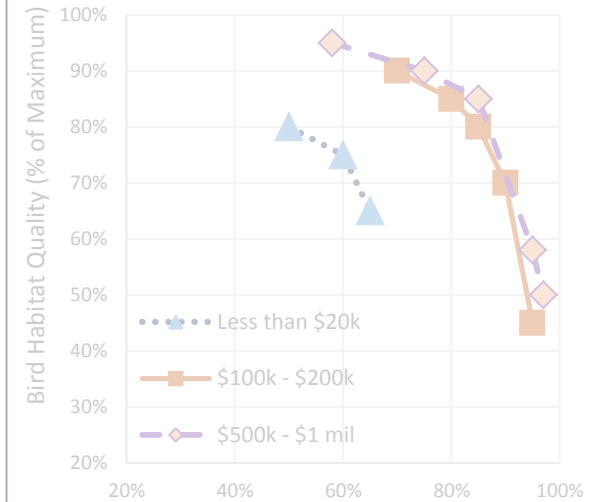


# Results: Tradeoffs

Base Case: Feb 7 Start Date Habitat Quality Tradeoffs w/ Fixed Annual Economic Losses

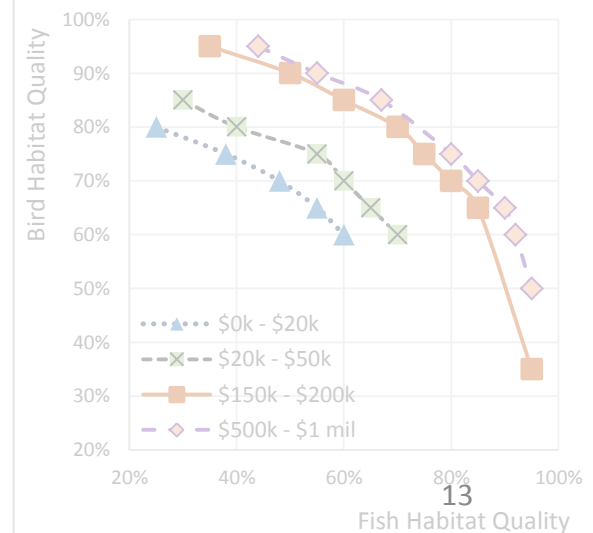


Salmon & Dabblers Prioritized



Fish Habitat Quality (% of Maximum)

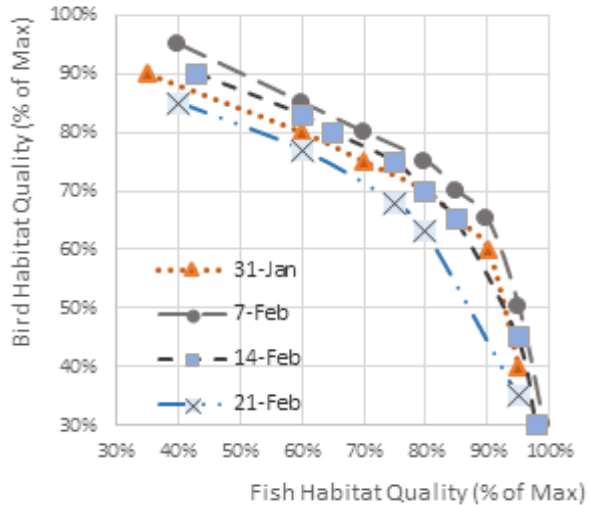
All Land Uses Equal



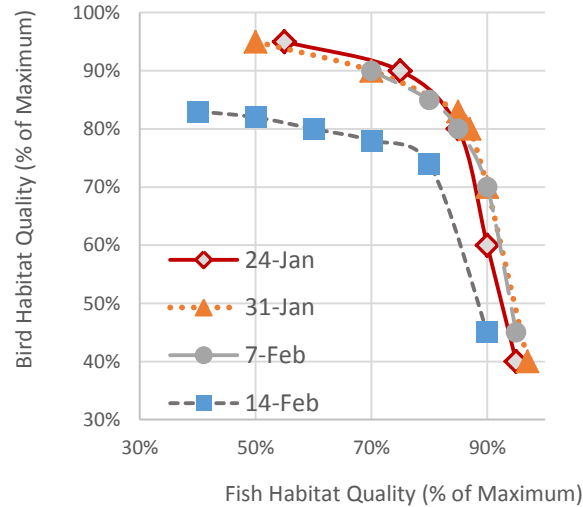
# Results: Start Date

Habitat Tradeoffs with Varied Start Dates. Annual Losses Constant at \$100k - \$200k

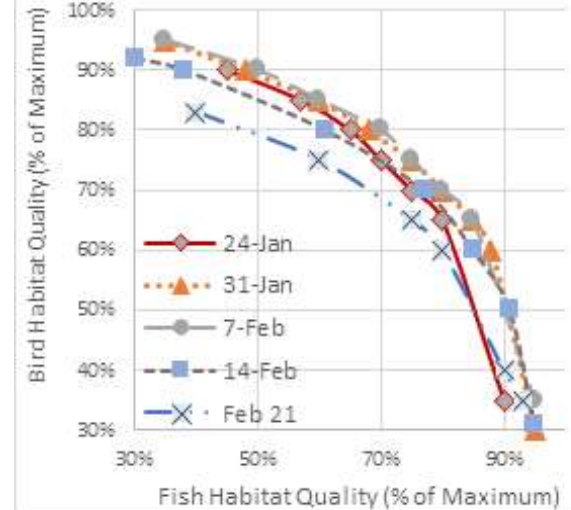
Original Parameters



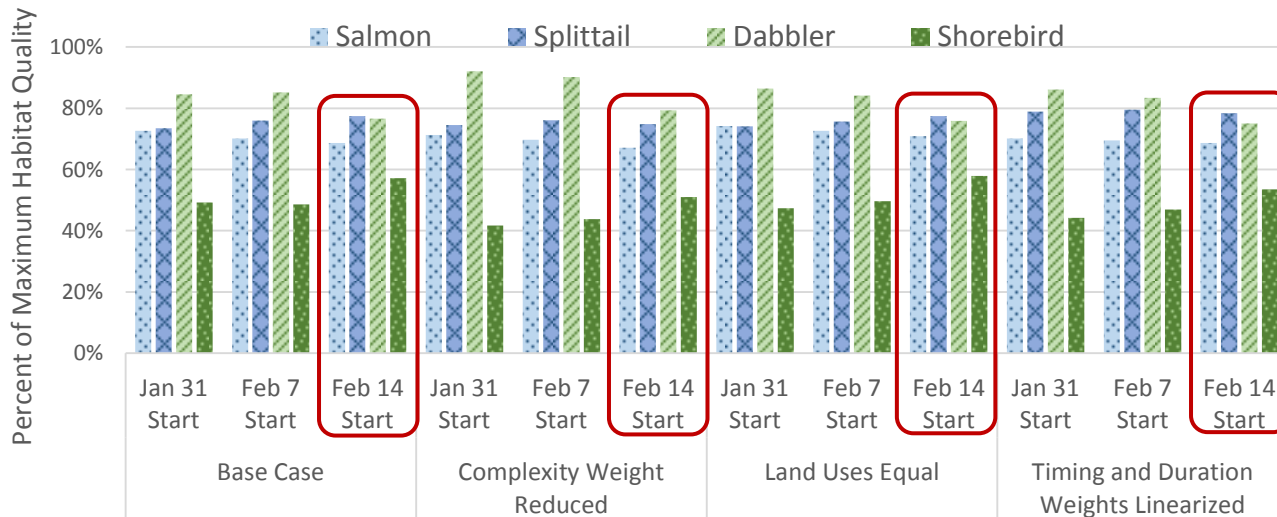
Salmon & Dabblers Prioritized



Land Uses Equal

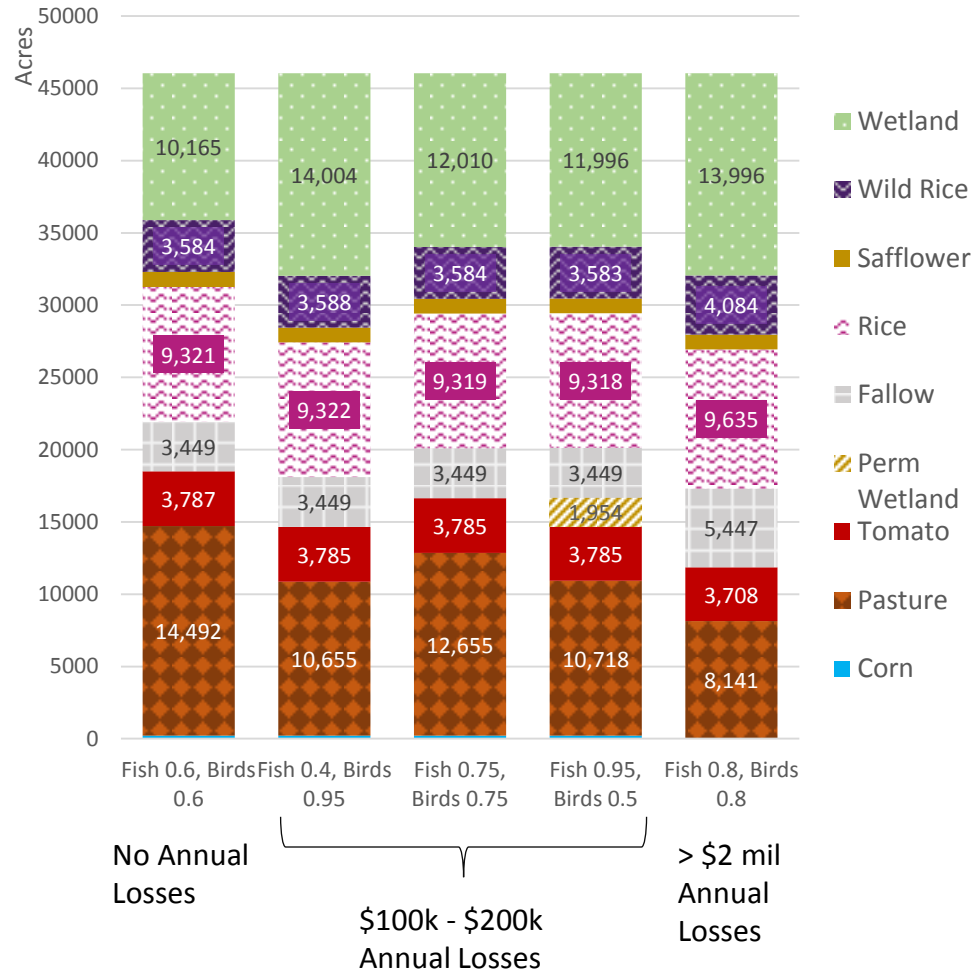


**Achieving 75% Habitat Quality for Fish and Birds - Implications for Individual Species Given Varied Start Dates and Parameters**

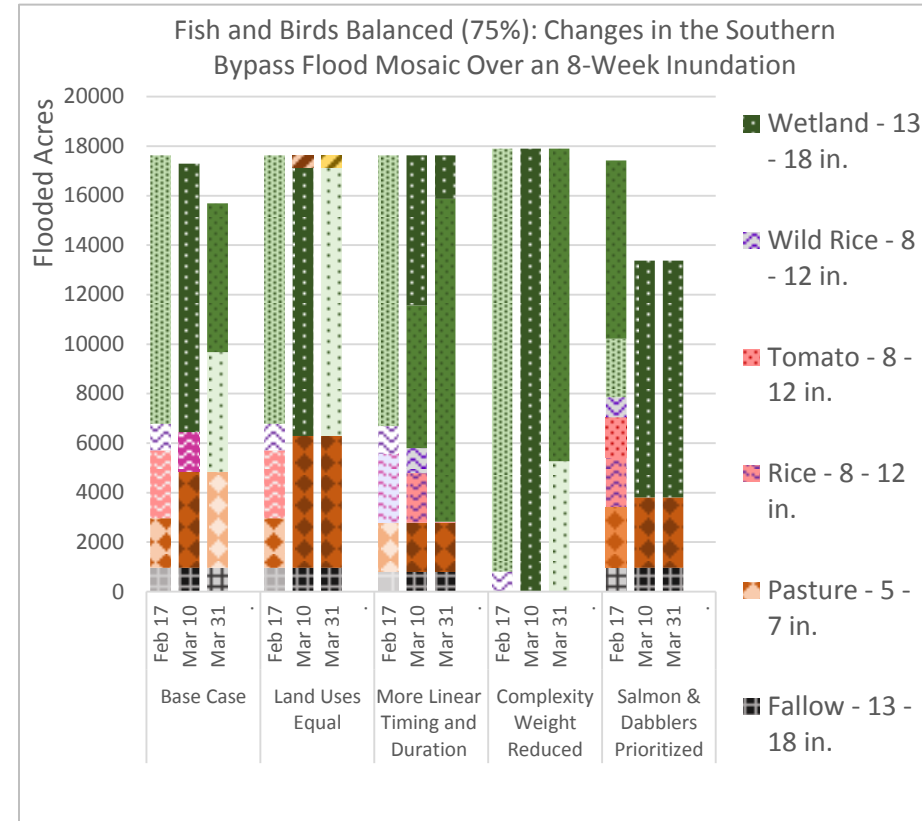


# What Happens in the Area of Efficient Tradeoffs?

Permanent Land Use Change:  
Pasture converted to wetlands  
(only Base Case shown)



Flood Management: Optimal placement (and **movement**) of water through time



More varied land uses in beginning, shifting to pasture and wetlands in later weeks. Depth varies with timing preferences for different bird and fish species.

# Conclusions

## Tradeoffs:

1. **We can do better for fish and birds on the bypass with little annual costs for farmers.**
2. **A compensation package of \$100,000 - \$500,000 per year to farmers or bypass landowners should be adequate for a reconciliation program**

## Land Use

1. Cheapest way to improve habitat is to exchange some pasture in the southern bypass for wetlands.
2. Agricultural land uses can contribute to fish and bird habitat quality at very little cost, as long as flooding is optimized in space and time. (Rice and wild rice are a part of the flood mosaic in February, but are phased out in March.)
3. It might be worthwhile to grow additional acres of rice and wild rice as habitat.

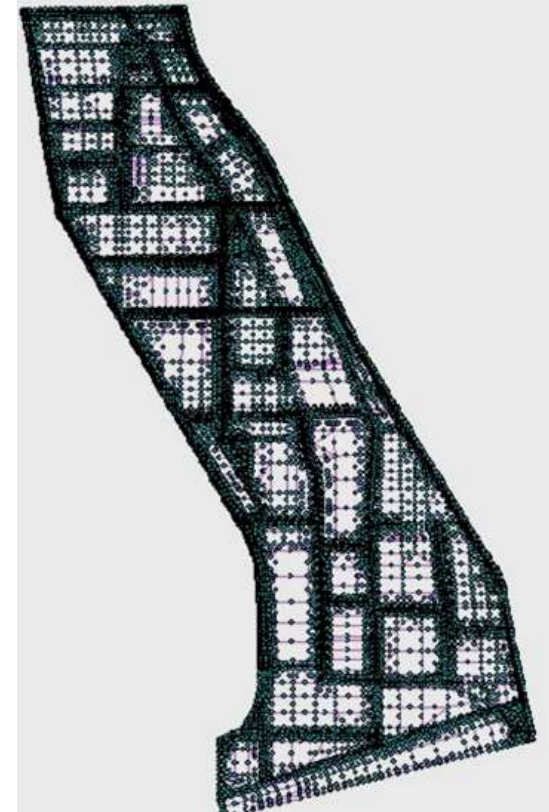
## Flooding Management

1. The best start date for an 8-week inundation is between late January and mid February. Later start dates in this range better balance benefits across individual fish and bird species. Earlier start dates favor salmon and dabblers.
2. Hydraulic management and movement of flows can significantly increase the cost-effectiveness of bypass inundation
3. Tools like this one can help guide this hydraulic management and integrate new knowledge into decision-making



# Next Steps for this Model

- Iteration with a 2-D Hydrodynamic simulation model
  - Changing physical constraints in the multi-objective model to reflect realities of flow distribution across different zones
  - Modifying bypass infrastructure in the 2-D simulation model to replicate optimal solutions.
- Alter agricultural parameters to test sensitivity to changing economic trends, and look more closely at marginal costs for added rice
- Change habitat objectives as more knowledge becomes available.



# Questions?

Sincere thanks to my advisors,  
Jay Lund and Jeff Mount,  
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And to all others whose input and expertise  
helped greatly with this work:

Peter Moyle, Richard Howitt, William Fleenor,  
Josue Medellin-Azuara, Cloe Garnache, Carson  
Jeffres, Jacob Katz, Catherine Lawrence, and many  
others

# Extra Slides

# Economic Objective

Revenues from farming A acres of land use  $j$  in zone  $i$ , starting in week  $t$

Costs of farming A acres of land use  $j$  in zone  $i$   
(Developed in Howitt et al., 2013)

$$Max P = \sum_i \left[ \left( \sum_j \sum_{t=start\ date} (A_{jti,d=0} - A_{j(t-1)i,d=0}) * R_{jti} \right) - \sum_j \phi_{ij} e^{\gamma_{ij} * A_j} \right] \quad [Eqn 1]$$

Where

$i$  = agricultural zone, as defined in Howitt et al. (2013)

$j$  = land use type

$d$  = depth

$t$  = week

$A_{jti, d=0}$  = Acres of land use type  $j$  in zone  $i$  at time  $t$  that are no longer flooded.

$R_{jti}$  = the annual revenues from land use  $j$  in zone  $i$ , available for use by time step  $t$ .

And  $\phi_{ij}$  and  $\gamma_{ij}$  are cost parameters for farming A acres of land use  $j$  in zone  $i$ , taken from an agronomic model of the Yolo Bypass developed for a separate study (Howitt et al., 2013)



# Habitat Quality Objectives

| Land Use Type (j)  | Weights ( $\alpha_{sj}$ ) |                         | Timing (t)      | Weights ( $\delta_{ts}$ ) |                         |
|--------------------|---------------------------|-------------------------|-----------------|---------------------------|-------------------------|
|                    | Splittail                 | Fall-Run Chinook Salmon |                 | Splittail                 | Fall-Run Chinook Salmon |
| Rice               | 0.61                      | 1.00*                   | Jan 1 - Jan 15  | 0.40                      | 0.59                    |
| Wild Rice          | 0.63                      | 1.00*                   | Jan 16 - Jan 31 | 0.47                      | 0.74                    |
| Corn               | 0.31                      | 0.46                    | Feb 1 - Feb 14  | 0.67                      | 0.90                    |
| Tomato             | 0.31                      | 0.46                    | Feb 15 - Feb 28 | 0.87                      | 1.00                    |
| Pasture            | 0.73                      | 0.78                    | Mar 1 - Mar 15  | 1.00                      | 0.97                    |
| Fallow             | 0.71                      | 0.79                    | Mar 16 - Mar 31 | 1.00                      | 0.95                    |
| Riparian           | 0.92                      | 0.97                    | Apr 1 - Apr 15  | 0.93                      | 0.82                    |
| Seasonal Wetlands  | 1.00                      | 1.00                    | Apr 16 - Apr 30 | 0.80                      | 0.67                    |
| Permanent Wetlands | 0.66                      | 0.78                    | May 1 - May 15  | 0.47                      | 0.46                    |
| Safflower          | 0.45                      | 0.53                    |                 |                           |                         |

| Depth (d)        | Splittail Weights ( $\delta_{\text{splittail},d}$ ) | Salmon Weights ( $\delta_{\text{salmon},d}$ ) | Dabbler Weights ( $\delta_{\text{dabbler},d}$ ) | Shorebird Weights ( $\delta_{\text{shorebird},d}$ ) |
|------------------|---|---|---|---|
| Zone 1: 2 – 4"   | 0.21  | 0.22  | 0.86  | 1.00  |
| Zone 2: 5 – 7"   | 0.38  | 0.35  | 1.00  | 0.75  |
| Zone 3: 8 – 12"  | 0.71  | 0.58  | 0.95  | 0.44  |
| Zone 4: 13 – 18" | 1.00  | 0.91  | 0.66  | 0.11  |
| Zone 5: > 18"    | 1.00  | 1.00  | 0.30  | 0.04  |

|   | Weight ( $\beta_{SA}$ and $\beta_{SC}$ ) |       |
|---|--|-------|
| Flood Characteristics                         | Fish                                     | Birds |
| Total area, depth, and land use types flooded | 0.7                                      | 0.68  |
| Complexity (entropy) of flooded land uses     | 0.3                                      | 0.32  |

$Max HQ_{fish \text{ or } birds}$

$$= \sum_s P_s \sum_{t=\text{start date}} \omega_{ts} \delta_{ts} \sum_d \delta_{ds} \sum_j \beta_{sA} \left( \frac{A_{jtid} * \alpha_{sj}}{Max(A_{jtid} * \alpha_{sj})} \right) + \beta_{sC} \left( \frac{Entropy(A_{jtid})}{Max Entropy} \right)$$

[Eqn 2]

Where

$P_s$  = the amount that habitat quality for species s contributes towards total fish or bird habitat quality (set at 0.5 initially so that salmon and splittail are equally prioritized for the total fish habitat quality score, and dabblers and shorebirds are equally prioritized for the total bird habitat quality score.)

$\omega_{ts}$  = marginal benefit of each additional week of flooding for species s

$\delta_{ts}$  = relative importance (weight) of flooding at time t for species s

$\delta_d$  = relative benefit (weight) of flooding in depth zone d for species s

$\alpha_{sj}$  = the relative benefit (weight) of land use j as habitat for species s

$B_{SA}$  and  $\beta_{SC}$  =

relative importance of total area and land use types flooded (A) versus complexity (C) for species s, where complexity is expressed with an entropy function.

$A_{jtid}$  = the acreage of land use j in week t

Entropy is calculated as  $E = \left( \frac{A_{jt,d>0}}{\sum A_j} \right) * -\ln \left( \frac{A_{jt,d>0}}{\sum A_j} \right)$